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Name: <u>Chris Vo</u>	<u>Chris Vo</u>
<u>10/26/05</u>	Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gunn III, et al

Serial No.: 10/820,631

Filed: 4/7/2004

For: Wafer-Level Testing of Optical and Optoelectronic Chips

Attorney Docket No. LUX-P038

Examiner: Nguyen, Jimmy

Art Unit: 2829

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL LETTER

Dear Sir:

1. **TRANSMITTED DOCUMENTS:** the following documents relating to the above-identified patent application are being transmitted herewith.

- ☒ a. An Amendment for this application: 19 pages.
- ☐ b. Substituted Formal Drawings: _____ sheets.
- ☐ c. A Petition For Extension of Time For Response under 37 CFR 1.136(a) incorporated herein.
- ☐ d. An Information Disclosure Statement under 37 CFR ____ 1.97(b) ☒ 1.97(c)
- ☒ e. A stamped, self-addressed, return postcard.
- ☐ f. A Check (# _____) for \$ _____ to cover required fees of this correspondence.

2. **APPLICANT FILING STATUS:**

- ☐ a. Applicant is a Large Entity.
- ☒ b. Applicant is a Small Entity.

3. **EXTENSION OF TIME:**

- ☐ a. Applicant petitions for an extension of time under 37 C.F. R. 1.136 for the total number of _____ months checked below (fees pursuant to 37 C.F.R. 1.17(a)-(d)).

<u>Extension of Time</u>	<u>Large Entity Fee</u>	<u>Small Entity Fee</u>
i. One (1) month .	_____ \$ 120.00	_____ \$ 60.00
ii. Two (2) month .	_____ \$ 450.00	_____ \$ 225.00
iii. Three (3) month .	_____ \$1,020.00	_____ \$ 510.00
iv. Four (4) month .	_____ \$ 1,590.00	_____ \$ 795.00
v. Five (5) month .	_____ \$ 2,160.00	_____ \$ 1080.00

Extension Time Fee Total: _____ .00

- ☒ b. Applicant believes that no extension of time is required. However, this conditional petition is being made in case Applicant has inadvertently overlooked the need for a petition for extension of time, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

4. FEE CALCULATION:

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Fee Items	Claims Remaining After Amendment	Highest Number of Claims Previously Paid	Present Extra Claims	Fee Rate	Total
a. Total Claims	25	- 84 =	0	x \$ 50.00 Large Entity x \$ 25.00 Small Entity	\$.00
b. Independent Claims	1	- 4 =	0	x \$200.00 Large Entity x \$100.00 Small Entity	\$.00
c. Multiple Dependent Claims Added By This Amendment				x 360.00 Large Entity x 180.00 Small Entity	
d. Extension of Time Fee Total, if any, from above EXTENSION OF TIME section 3a.					\$.00
e. Additional Fees Required With This Correspondence i) 1.17 (p) Fee for Information Disclosure under 1.97(c)					\$.00
e. Total Fees					\$.00

5. PAYMENT OF FEES

The full fee due in connection with this communication is provided as follows:

_____ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

_____ A Check # _____ for \$ _____ for the above specified Total Fee is enclosed. However, should Applicant inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

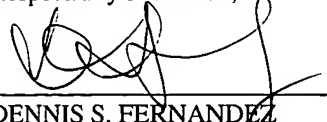
X Applicant does not believe that any payment of fee is needed in association with this communication. However, should Applicant inadvertently miscalculated the required fee, the Commissioner is hereby authorized to charge any necessary amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**.

Please direct all correspondence concerning the above-identified application to the following address:

CUSTOMER NO: 22877

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Phone: (650) 325-4999
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Respectfully submitted,



DENNIS S. FERNANDEZ
Registration No. 34,160

10/26/05
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Gunn III, et al.

Attorney Docket No.: LUX-P038

Serial No.: 10/820,631

Group Art Unit: 2829

Filed: 04/07/2004

Examiner: Nguyen, Jimmy

Title: Wafer-Level Testing of Optical and Optoelectronic Chips

AMENDMENT

Commissioner for Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In Response to the Office Action dated 10/3/2005, the Examiner has stated that
Claims 1-84 are subject to restriction or election requirement as follow:

1. Invention I. (Claims 1-43, 76-84), are drawn to a method, classified in class 356, subclass 485.
2. Invention II. (Claims 44-68), are drawn to an optical device, classified in class 324, subclass 758.
3. Invention III. (Claims 69-75), are drawn to an optical probe system, classified in class 324, subclass 753.

In response to the Office Action dated October 3, 2005, Applicants hereby elect
Claims 44-68, corresponding to species Group 2 of Invention II, drawn to an optical
device, classified in class 324, subclass 758. This election is made with traverse.

Please withdraw Claims 1-43, 69-75 and 76-84 from further prosecution.